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PTO/SB/01 (10-00)

Approved for use through 10/31/2002 OMB 0651-0032

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☒ Declaration
Submitted
with Initial
Filing **OR** ☐ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number 16U 107 R1

First Named Inventor Sun et al.

COMPLETE IF KNOWN

Application Number /

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Breast Cancer Transcription Factor Gene and Uses

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application
Number(s)**

Country

**Foreign Filing Date
(MM/DD/YYYY)**

**Priority
Not Claimed**

**Certified Copy Attached?
YES NO**

☐

☐

☐

☐

☐

☐

☐

☐

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)

Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

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OR ☐ Correspondence address below

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Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name

Zairen

Family Name

Sun

(first and middle [if any])

or Surname

Inventor's
Signature

Zairen Sun

Date

1/24/02

Residence: City

Rockville

State

MD

Country

USA

Citizenship

PRC

Mailing Address

1083 Copperstone Court

Mailing Address

City

Rockville

State

MD

ZIP

20850

Country

USA

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

Wufang

Family Name

Fan

(first and middle [if any])

or Surname

Inventor's
Signature

Wufang Fan

Date

1-24-02

Residence: City

Germantown

State

MD

Country

USA

Citizenship

USA

Mailing Address

18452 Crownsgate Circle

Mailing Address

City

Germantown

State

MD

ZIP

20874

Country

USA

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

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PTO/SB/02A (11-00)
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DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 1 of 1

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Karl F.

Kovacs

Inventor's
Signature

Karl F. Kovacs

Date

1-24-02

Residence: City Rockville

State MD

Country USA

Citizenship USA

Mailing Address

5 Gruenther Court

Mailing Address

City Rockville

State MD

ZIP 20851

Country USA

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Xuan

Li

Inventor's
Signature

Xuan Li

Date

1/24/02

Residence: City Silver Spring

State MD

Country USA

Citizenship USA

Mailing Address

14808 Carona Drive

Mailing Address

City Silver Spring

State MD

ZIP 20905

Country USA

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Gilbert

Jay

Inventor's
Signature

Gilbert Jay

Date

01-24-02

Residence: City North Bethesda

State MD

Country USA

Citizenship USA

Mailing Address

5801 Nicholson Lane

Mailing Address

City North Bethesda

State MD

ZIP 20852

Country USA

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PTO/SB/81 (02-01)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	
Filing Date	
First Named Inventor	Sun et al.
Title	Breast Cancer Transcription Factor & Gene
Group Art Unit	
Examiner Name	
Attorney Docket Number	16U 107 R1

I hereby appoint:

☒ Practitioners at Customer Number

OR

☐ Practitioner(s) named below:



PATENT TRADEMARK OFFICE

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above; and to transact all business in the United States Patent and Trademark Office connected therewith.

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Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Zairen Sun
Signature	<i>Zairen Sun</i>
Date	4/24/02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of 5 forms are submitted.

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SIGNATURE of Applicant or Assignee of Record

Name

Karl F. Kovacs IV

Signature

Karl F. Kovacs IV

Date

1-24-02

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SIGNATURE of Applicant or Assignee of Record

Name

Wufang Fan

Signature

Date

1-24-02

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Name

Gilbert Jay

Signature

Gilbert Jay


Date

01-24-02

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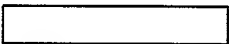
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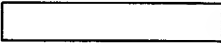
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SIGNATURE of Applicant or Assignee of Record

Name

Xuan Li

Signature

Date

1/24/02

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